

# *Polymer Self-Consistent Field Theory in Bulk and under Confinement*

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March 10, 2014



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# Outline

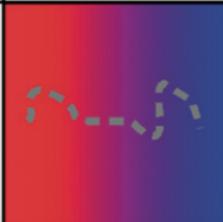
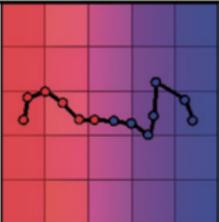
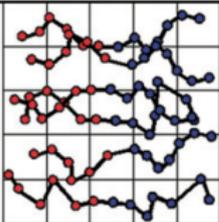
- Introduction to the self-consistent field theory (SCFT)
  - Fundamentals
  - Applications
- Implementation of SCFT — the Polyorder project
- My research works on SCFT
  - Self-assembly of weakly charged block copolymers
  - Self-assembly of block copolymers confined by interacting walls
  - Thermodynamics of polymer brushes on interacting substrates
- Summary
- Acknowledgments

# Introduction to the Self-Consistent Field Theory

- Fundamentals
- Applications

# Fundamentals of SCFT

## Particle-Based and Field-Based Methods

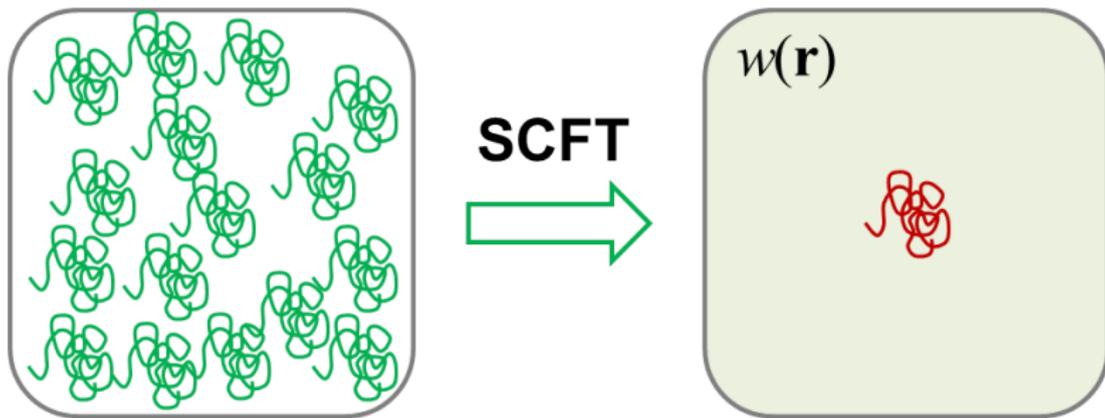
Method	SCFT	SCMF	TICG
Schematics			
Degrees of freedom	fields	particles and fields	
Implementation	numerical resolution	two-step cycle	
Fluctuations	no	yes	
Free energy	known	requires additional work	
System dimensionality	often 2D	3D	

**Fig. 1** Comparison between three methods applicable to the standard model of block copolymers: self-consistent field theory (SCFT), single-chain in mean field (SCMF) simulations and theoretically informed coarse grain (TICG) simulations. In SCFT, chains are described implicitly by a diffusion process in a field, which represents the average effect of the local environment. In SCMF simulations, each chain is described explicitly and evolves in a field which fluctuates, since it is periodically recomputed from the chain configurations. No fields are introduced in the TICG simulations, and chains interact directly with one another.

# Fundamentals of SCFT

## Key Concept of SCFT

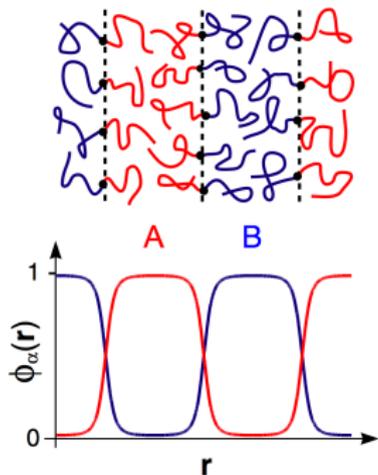
Polymer Chains experience a potential field that is generated by other chains.



Below, we describe SCFT for melt of  $n$  identical AB diblock copolymer chains, each with  $N$  segments of which a fraction  $f$  forms the A block.

# Fundamentals of SCFT

## Segment Density Distributions



**Figure 3.** Schematic diagram showing the molecular self-assembly within the lamellar (L) phase with the corresponding ensemble-averaged segment distributions,  $\phi_A(\mathbf{r})$  and  $\phi_B(\mathbf{r})$ .

$$\hat{\phi}_A(\mathbf{r}) = \frac{N}{\rho_0} \sum_{\alpha=1}^n \int_0^f \delta(\mathbf{r} - \mathbf{R}_\alpha(s)) ds$$

$$\hat{\phi}_B(\mathbf{r}) = \frac{N}{\rho_0} \sum_{\alpha=1}^n \int_f^1 \delta(\mathbf{r} - \mathbf{R}_\alpha(s)) ds$$

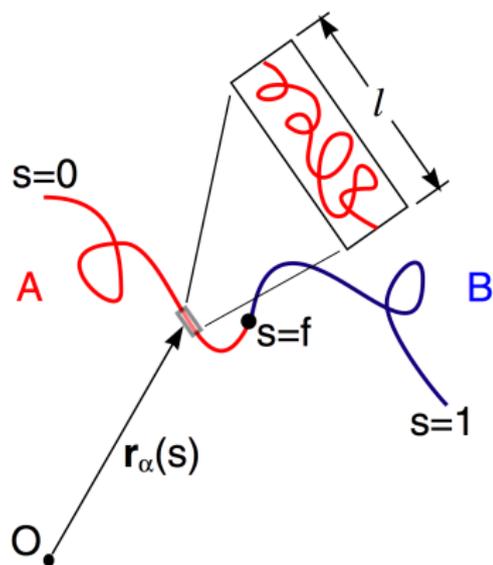
Their ensemble averages are

$$\phi_A(\mathbf{r}) \equiv \langle \hat{\phi}_A(\mathbf{r}) \rangle$$

$$\phi_B(\mathbf{r}) \equiv \langle \hat{\phi}_B(\mathbf{r}) \rangle$$

# Fundamentals of SCFT

## Coarse Grained Gaussian Model



$$\beta U_0[\mathbf{R}_\alpha(s)] = \sum_\alpha \int_0^1 \frac{3}{2a^2 N} \left| \frac{\partial \mathbf{R}_\alpha(s)}{\partial s} \right|^2 ds$$

Matsen, M. W. *J. Phys. Cond. Matter* **2002**, *14*, 21.

# Fundamentals of SCFT

## Segment Interactions

$$\beta U_1[\mathbf{R}_\alpha(s)] = \chi\rho_0 \int \hat{\phi}_A(\mathbf{r})\hat{\phi}_B(\mathbf{r})d\mathbf{r}$$

Fredrickson, G. H. *The Equilibrium Theory of Inhomogeneous Polymers* **2006**, Clarendon Press: Oxford.

# Fundamentals of SCFT

## Partition Function

$$Z = \frac{1}{n! \lambda_T^{nN}} \int d\mathbf{r}^{nN} \exp(-\beta U_0[\mathbf{R}_\alpha(s)] - \beta U_1[\mathbf{R}_\alpha(s)]) \delta(\hat{\phi}_A(\mathbf{r}) + \hat{\phi}_B(\mathbf{r}) - 1)$$

Fredrickson, G. H. *The Equilibrium Theory of Inhomogeneous Polymers* 2006, Clarendon Press: Oxford.

# Fundamentals of SCFT

From Particle to Field: The Hubbard-Stratonovich Transformation

$$Z = Z_0 \int \mathcal{D}\phi_A \mathcal{D}\phi_B \mathcal{D}w_A \mathcal{D}w_B \mathcal{D}\eta \exp(-H)$$

with

$$H = \int d\mathbf{r} [\chi\phi_A\phi_B - w_A\phi_A - w_B\phi_B - \eta(1 - \phi_A - \phi_B)] - n \ln Q[w_A, w_B]$$

and the single chain partition function is

$$Q[w_A, w_B] = \int \mathcal{D}\mathbf{R}_\alpha(s) \exp\left(-\int_0^1 (\beta U_0 + w(\mathbf{R}_\alpha(s), s)) ds\right)$$

Fredrickson, G. H. *The Equilibrium Theory of Inhomogeneous Polymers* 2006, Clarendon Press: Oxford.

# Fundamentals of SCFT

## Propagators and Modified Diffusion Equations

If we define following propagators

$$q(\mathbf{r}, s) = \int \mathcal{D}\mathbf{R}_\alpha(s) \exp\left(-\int_0^s (\beta U_0 + w(\mathbf{R}_\alpha(s), s)) ds\right) \delta(\mathbf{R}_\alpha(s) - \mathbf{r})$$

$$q^*(\mathbf{r}, s) = \int \mathcal{D}\mathbf{R}_\alpha(s) \exp\left(-\int_s^1 (\beta U_0 + w(\mathbf{R}_\alpha(s), s)) ds\right) \delta(\mathbf{R}_\alpha(s) - \mathbf{r})$$

We can evaluate the single chain partition function simply by

$$Q = \int d\mathbf{r} q(\mathbf{r}, s) q^*(\mathbf{r}, s)$$

Propagators can be obtained by solving modified diffusion equations

$$\frac{\partial q(\mathbf{r}, s)}{\partial s} = \nabla^2 q - w_X(\mathbf{r}) q$$

$$\frac{\partial q^*(\mathbf{r}, s)}{\partial s} = \nabla^2 q^* - w_X(\mathbf{r}) q^*$$

# Fundamentals of SCFT

## Self-Consistent Field Equations

Mean field approximation is introduced to evaluate the field-based partition function

$$\frac{\delta H}{\delta \phi_A} = 0$$

$$\frac{\delta H}{\delta \phi_B} = 0$$

Which gives a set of SCFT equations

$$w_A = \chi \phi_B + \eta$$

$$w_B = \chi \phi_A + \eta$$

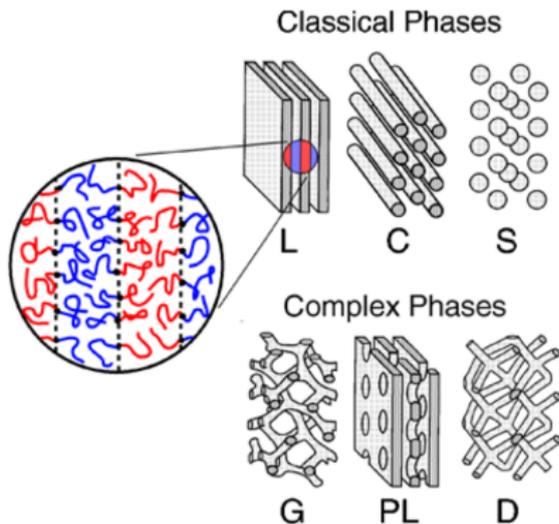
Fredrickson, G. H. *The Equilibrium Theory of Inhomogeneous Polymers* 2006, Clarendon Press: Oxford.

# Applications of SCFT

- Polymer solutions
- Polymer blends
- Block copolymers
- Polyelectrolytes
- Semiflexible polymers
- Liquid crystalline polymers
- Tethered polymers
- Polydisperse polymers
- Polymers under confinements
- ...

# Applications of SCFT

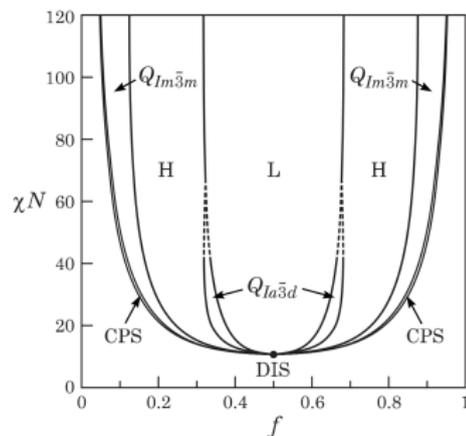
## Self-Assembled Structures of Diblock Copolymers



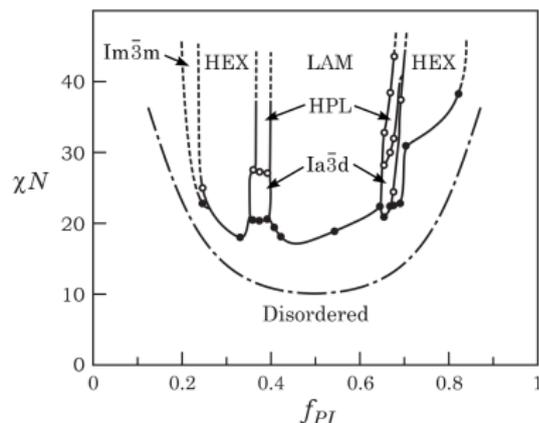
**Figure 1.** Schematic illustrations of six ordered morphologies showing the domains occupied by the smaller minority blocks. The morphologies can be subdivided into the classical lamellar (L), cylindrical (C) and spherical (S) phases, and the complex gyroid (G), perforated-lamellar (PL) and double-diamond (D) phases. The expanded view of the L phase demonstrates the self-assembly of individual molecules within the morphology.

# Applications of SCFT

## Phase Diagram of Diblock Copolymers



SCFT prediction

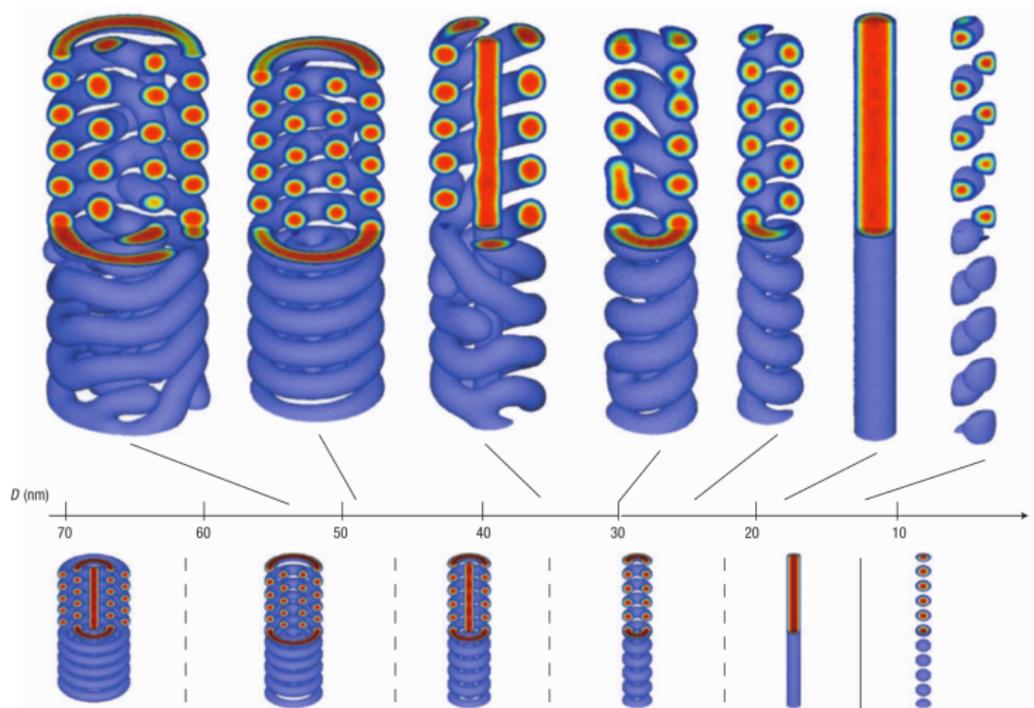


Experimental result

Fredrickson, G. H. *The Equilibrium Theory of Inhomogeneous Polymers* 2006, Clarendon Press: Oxford.

# Applications of SCFT

## Diblock-Homopolymer Blends under Cylindrical Confinements



# Implementation of SCFT — the Polyorder project

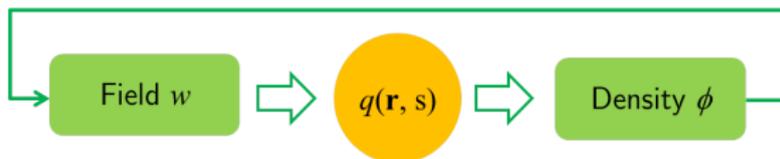
- Background
- Design

# The Polyorder Project

## Background

In most cases, SCFT equations should be solved numerically.

$$w_p = \chi_{ps} N \phi_s(\vec{r}) + \sum_{p \neq p'} \chi_{pp'} N \phi_{p'}(\vec{r}) + \eta(\vec{r})$$



$$\frac{\partial q_p}{\partial s} = \nabla^2 q_p - w_p q_p$$

$$\phi_p = \frac{\bar{\phi}_p}{Q_p f_p} \int_0^{f_p} ds q_p(\vec{r}, s) q_p^*(\vec{r}, f_p - s)$$

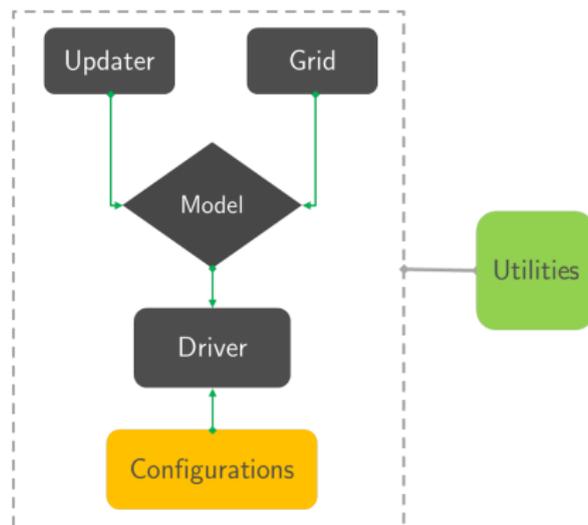
# The Polyorder Project

## Overview

### The Goal

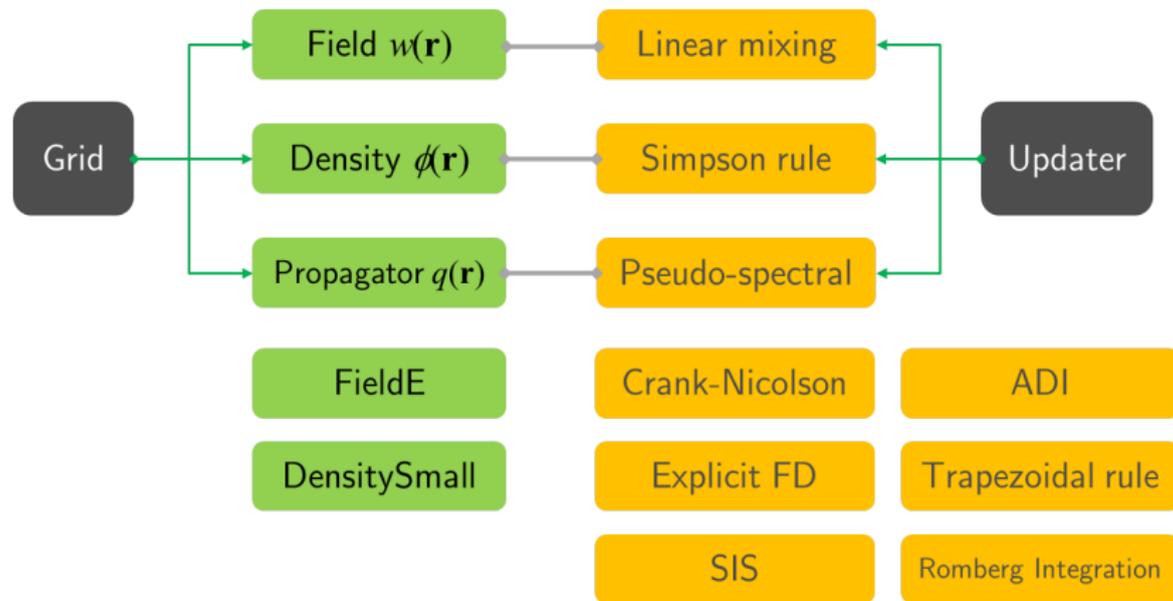
Polyorder is a C++ library which aims to ease the development of polymer self-consistent field theory (SCFT) programs.

### The Framework



# The Polyorder Project

## Design



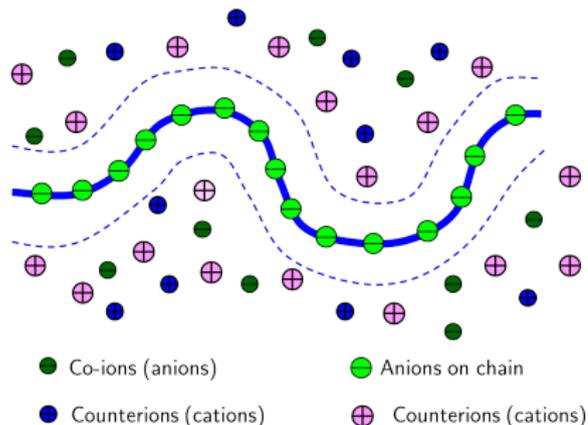
# Self-assembly of weakly charged block copolymers

- Introduction
- Numerical Methods
- Results and discussion
- Summary

# Introduction

## Charged Polymer Solutions and Poisson-Boltzmann Equation

### Chain connectivity



### Long range electrostatic interaction



$$U(r) = \frac{Q_1 Q_2 e^2}{4\pi\epsilon\epsilon_0 k_B T} r^{-1}$$

The interaction decays much slower than van der Waals interaction.

In the mean-field level, the electrostatic interaction can be described by the Poisson-Boltzmann (PB) Equation:

$$\nabla \cdot [\epsilon(\vec{r}) \nabla \psi(\vec{r})] = -N \sum_i v_i \phi_i(\vec{r})$$

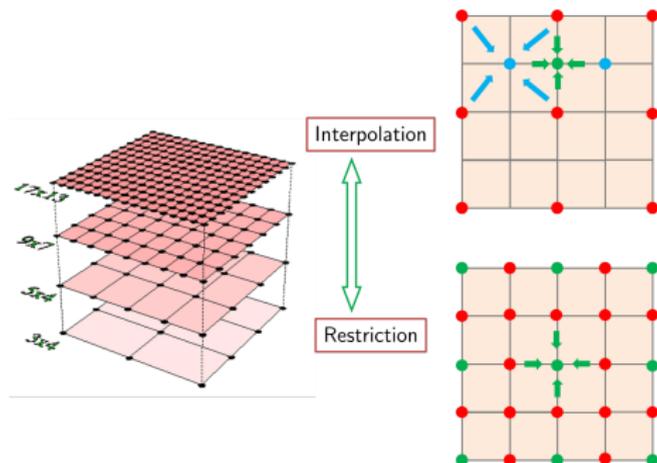
# Numerical Methods

The Electric Potential Field

Updated by multigrid Updaters.



The **Multigrid algorithm** approaches the ideal computational complexity  $O(M)$



# Numerical Methods

## Multigrid in Non-orthogonal Unit Cell

For 2D hexagonal unit cell:

$$\begin{aligned}\nabla \cdot [\epsilon(\vec{r}) \nabla \psi(\vec{r})] &= \frac{4}{3} \epsilon \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial x \partial y} + \frac{\partial^2 \psi}{\partial y^2} \right) + \\ &\quad \frac{4}{3} \left[ \left( \frac{\partial \epsilon}{\partial x} + \frac{1}{2} \frac{\partial \epsilon}{\partial y} \right) \frac{\partial \psi}{\partial x} + \left( \frac{\partial \epsilon}{\partial y} + \frac{1}{2} \frac{\partial \epsilon}{\partial x} \right) \frac{\partial \psi}{\partial y} \right]\end{aligned}$$

For 3D hexagonal unit cell:

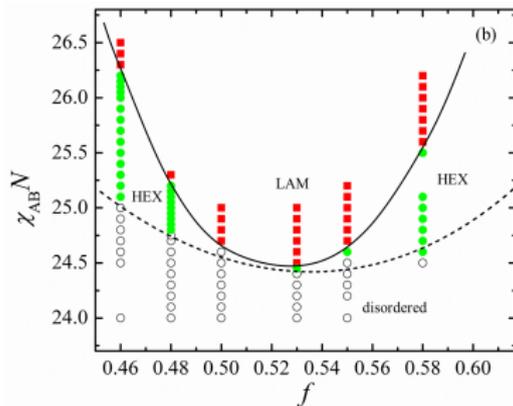
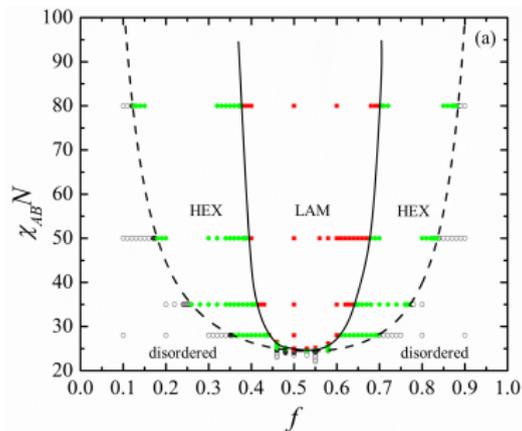
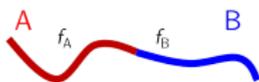
$$\begin{aligned}\nabla \cdot [\epsilon(\vec{r}) \nabla \psi(\vec{r})] &= \frac{4}{3} \epsilon \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial x \partial y} + \frac{\partial^2 \psi}{\partial y^2} + \frac{3}{4} \frac{\partial^2 \psi}{\partial z^2} \right) + \\ &\quad \frac{4}{3} \left[ \left( \frac{\partial \epsilon}{\partial x} + \frac{1}{2} \frac{\partial \epsilon}{\partial y} \right) \frac{\partial \psi}{\partial x} + \left( \frac{\partial \epsilon}{\partial y} + \frac{1}{2} \frac{\partial \epsilon}{\partial x} \right) \frac{\partial \psi}{\partial y} + \frac{3}{4} \frac{\partial \epsilon}{\partial z} \frac{\partial \psi}{\partial z} \right]\end{aligned}$$

# Results and Discussion

## Phase Diagram of Charged-Neutral Diblock Copolymer Solutions in 2D Space

Two features of the phase diagram:

1. The critical point moves upward.
2. The diagram is asymmetric.

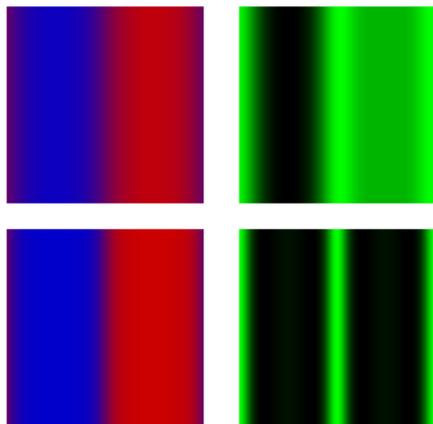


# Results and Discussion

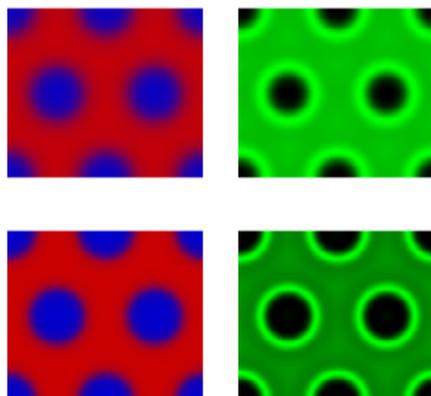
## Morphologies of Charged-Neutral Diblock Copolymer Solutions in 2D Space

### Main predictions:

1. Interfaces of neutral polymers is much sharper than charged polymers.
2. Solvent molecules tends to distribute inside charged domains.



Density distribution of type A (red) and B (blue) segments (left columns), and solvent molecules (right columns) with  $f = 0.5$ ,  $\chi_{AB}N = 35$ .

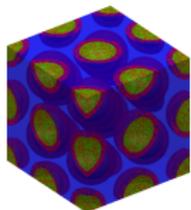


Density distribution of type A (red) and B (blue) segments (left columns), and solvent molecules (right columns) with  $f = 0.7$ ,  $\alpha_A N = 20$ .

# Results and Discussion

## Possible Morphologies in 3D

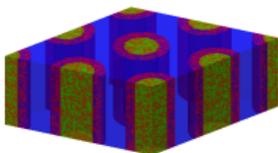
For position-independent dielectric constant



$$fA = 0.24$$

$$\chi_{AB}N = 35$$

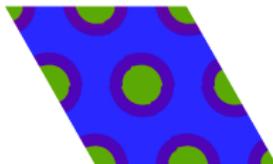
$$\alpha_A N = 2$$



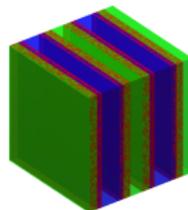
$$fA = 0.3$$

$$\chi_{AB}N = 20$$

$$\alpha_A N = 2$$



Top view

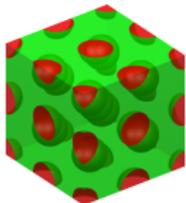


$$fA = 0.5$$

$$\chi_{AB}N = 20$$

$$\alpha_A N = 2$$

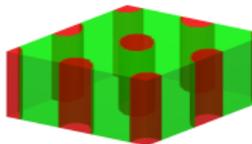
For position-dependent dielectric constant



$$fA = 0.24$$

$$\chi_{AB}N = 35$$

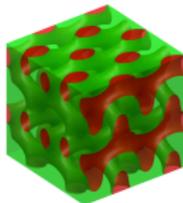
$$\alpha_A N = 2$$



$$fA = 0.3$$

$$\chi_{AB}N = 20$$

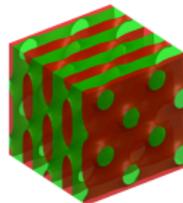
$$\alpha_A N = 2$$



$$fA = 0.38$$

$$\chi_{AB}N = 20$$

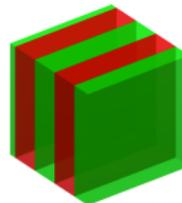
$$\alpha_A N = 2$$



$$fA = 0.4$$

$$\chi_{AB}N = 20$$

$$\alpha_A N = 2$$



$$fA = 0.5$$

$$\chi_{AB}N = 20$$

$$\alpha_A N = 2$$

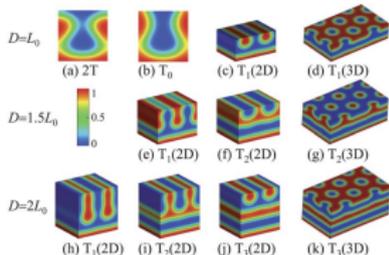
# Self-assembly of block copolymers confined by interacting walls

- Introduction
- Numerical Methods
- Performance of ETDRK4 Methods
- Applications of ETDRK4 Methods
- Summary

# Introduction

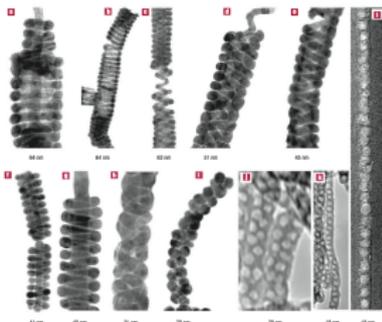
## Self-Assembly of Block Copolymers under Confinements

In practice, most of block copolymers are more or less under confinement.



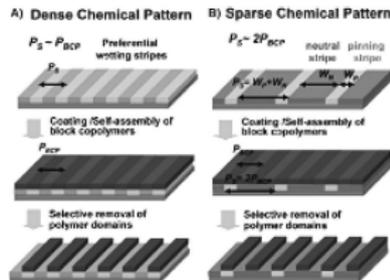
### Self-assembly in thin films

D. Meng et al. *Soft Matter* 2010, 6, 5891



### Self-assembly in nanopores

Y. Wu et al. *Nat. Mater.* 2004, 3, 816



### Directed self-assembly (DSA)

H. Kim et al. *Chem. Rev.* 2010, 110, 146

Surface and interfacial effects play an important role in determining the self-assemble structures.

# Introduction

## Modeling Surface and Interfacial Effects in Self-Consistent Field Theory (SCFT)

### Approach I:

Using a masking technique and introducing surface interaction terms.

### Approach II:

Imposing Robin boundary conditions on the modified diffusion equations for propagators.

$$\frac{\partial q}{\partial n} + \kappa q = 0 \quad \text{at the boundary}$$

# Introduction

## SCFT Methods for Confined Block Copolymers

### Operator splitting with Fourier collocation (OSF, OSS, OSC).

- Fast,  $O(M \log M)$ .
- Often 2nd order convergence in temporal domain.
- Accuracy degradation for Dirichlet and Neumann boundary conditions (DBC and NBC).
- Not applicable for Robin boundary conditions (RBC).

### Operator splitting with Cheyshev collocation (OSCHEB).

- $O(M \log M + \alpha M)$  with large coefficients  $\alpha$ .
- Often 2nd order convergence in temporal domain.
- Can handle RBC but requires even larger coefficients.

Other real space methods (finite difference), spectral methods.

# Numerical Methods

## Exponential Time Differencing Scheme

Modified diffusion equation in matrix form

$$\frac{\partial q}{\partial s} = \mathbf{L}q + \mathbf{F}(q, s)$$

In exponential form

$$\frac{\partial}{\partial s} e^{-\mathbf{L}s} q = e^{-\mathbf{L}s} \mathbf{F}(q, s)$$

Stepping a single contour step

$$q(s_{n+1}) = e^{\mathbf{L}s} q(s_n) + e^{\mathbf{L}s} \int_0^h d\tau \mathbf{F}[q(s_n + \tau), s_n + \tau]$$

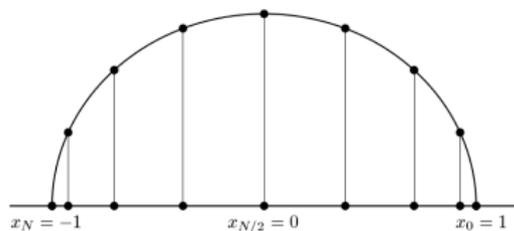
Then a **4th order Runge-Kutta method** is employed to approximate the integral.

# Numerical Methods

## Chebyshev Collocation and Boundary Conditions

To efficiently handle non-periodic boundary conditions, we discretize spatial variables on a Chebyshev-Gauss-Lobatto grid with a set of points

$$x_j = \cos\left(\frac{\pi j}{N}\right), \quad j = 0, 1, \dots, N$$

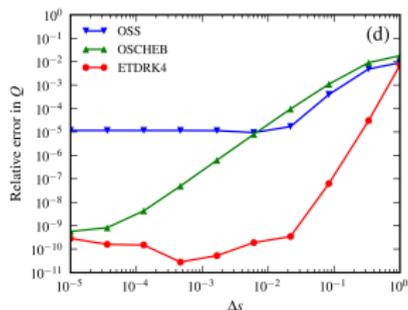
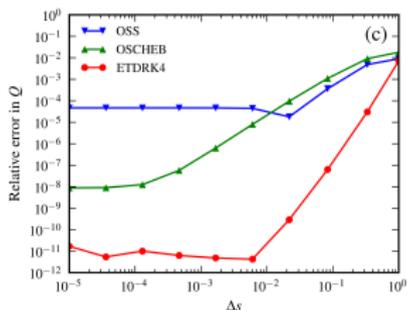
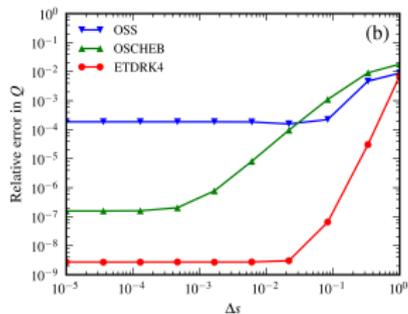
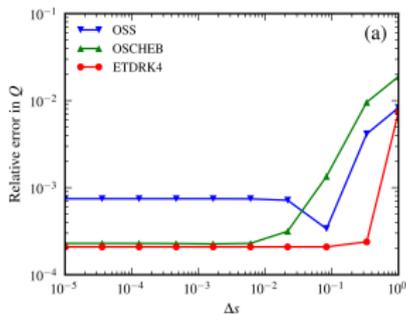


- $\mathbf{L}$  can be constructed from the Chebyshev differentiation matrix  $\mathbf{D}$ .
- Boundary conditions are imposed by incorporating appropriate terms in  $\mathbf{L}$ .

# Performance of ETDRK4

## Convergence in Temporal Domain

ETDRK4 exhibits 4th order accuracy in temporal domain.

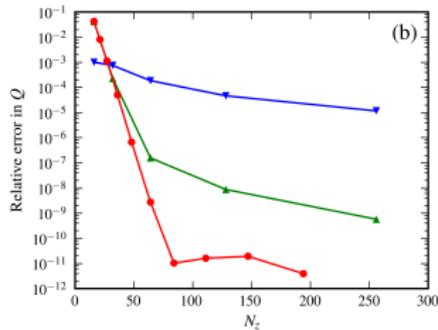
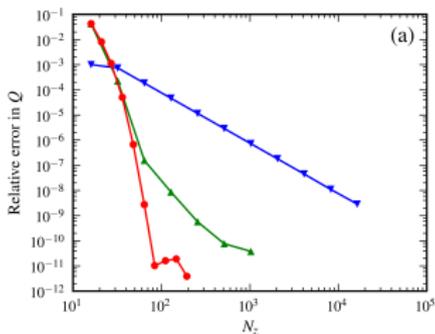


(a)  $N = 32$ , (b)  $N = 64$ , (c)  $N = 128$ , (d)  $N = 256$

# Performance of ETDRK4

## Convergence in Spatial Domain

ETDRK4 retains spectral convergence in spatial domain.

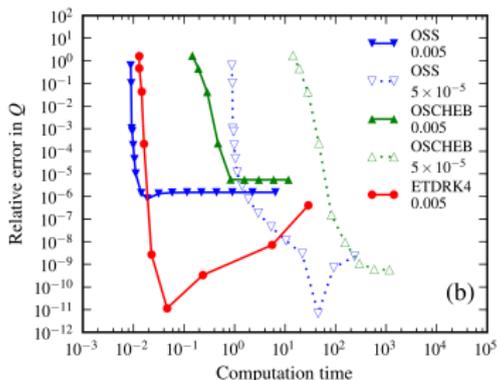
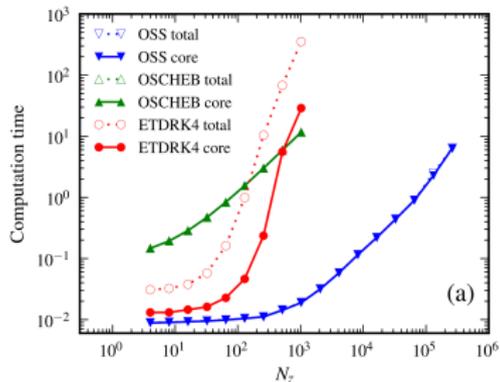


(a) log-log plot, (b) semilog plot. Disk: ETDRK4, up triangle: OSCHEB, down triangle: OSS.

# Performance of ETDRK4

## Computational Cost

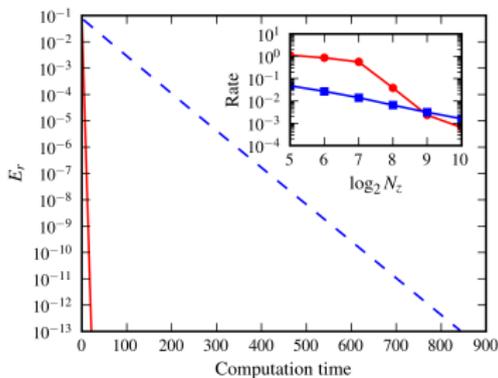
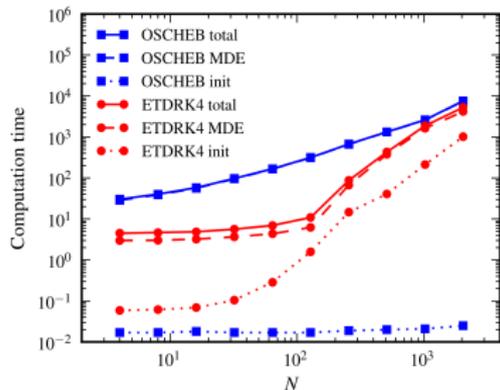
For high accuracy calculations (error  $< 10^{-6}$ ), ETDRK4 is more efficient than OSS and OSCHEB.



# Performance of ETDRK4

## Full SCFT Calculations

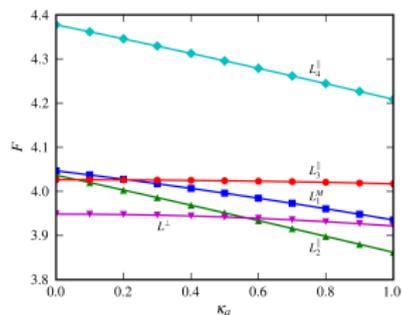
With ETDRK4, the SCFT algorithm also converge exponentially.



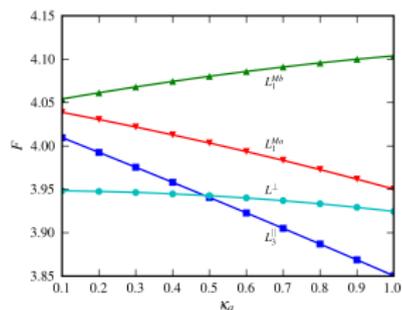
# Applications of ETDRK4

## Free Energy Calculations

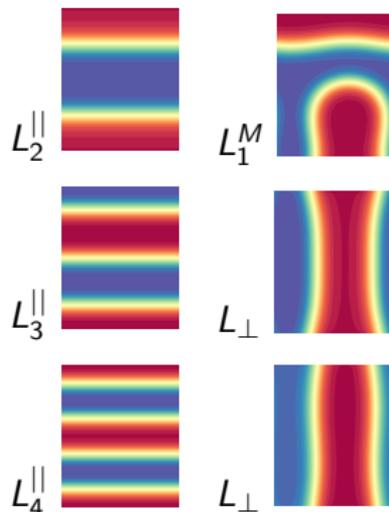
AB diblock copolymer confined by two parallel flat surfaces.



Symmetric surface interactions



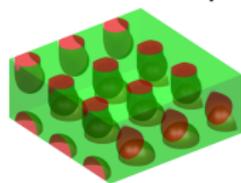
Asymmetric surface interactions



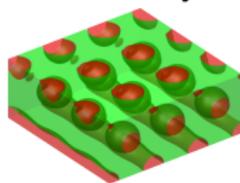
# Applications of ETDRK4

## 3D calculations

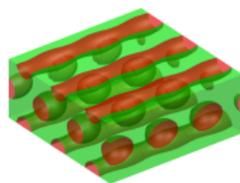
AB diblock copolymers confined by two parallel flat surfaces.



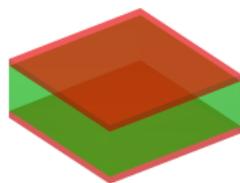
$$\kappa_a = 0$$



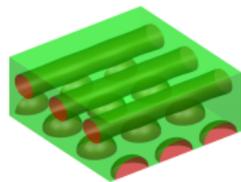
$$\kappa_a = 1$$



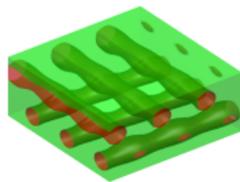
$$\kappa_a = 2$$



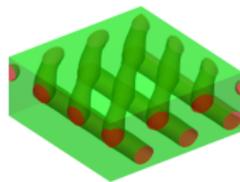
$$\kappa_a = 3$$



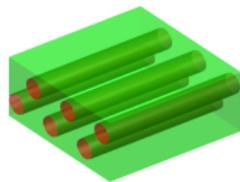
$$\kappa_a = -0.1$$



$$\kappa_a = -0.2$$



$$\kappa_a = -0.3$$



$$\kappa_a = -0.5$$

# Summary

## ETDRK4 methods

- Fast for high accuracy calculations.
- 4th order accuracy in temporal domain.
- Spectral accuracy in spatial domain.
- Applicable to RBC without significant increase of computational cost.

## Limitations

- Computational cost increases rapidly for non-periodic boundary conditions in two or more dimensions.

# Thermodynamics of polymer brushes on interacting substrates

- Introduction
- Results and Discussion

# Introduction

## Tethered Polymers and Polymer Brushes

### The states of tethered polymers



Fig. 21. States of tethered chains: mushroom, overlapping mushroom, brush.

### Parabolic density profile for polymer brush

$$\phi(\hat{z}) = \left(\frac{3\pi}{4}\right)^2 - \left(\frac{\pi\hat{z}}{2}\right)^2$$
$$\hat{z} = 2\sqrt{\beta}R_g$$

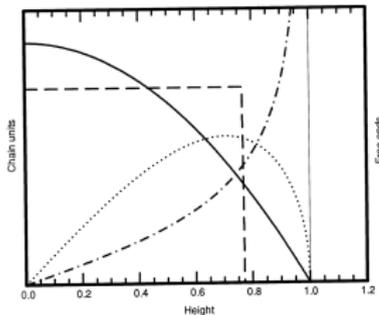


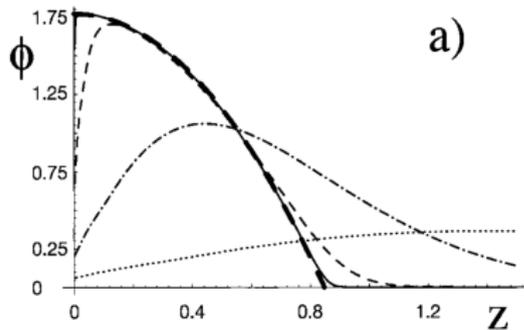
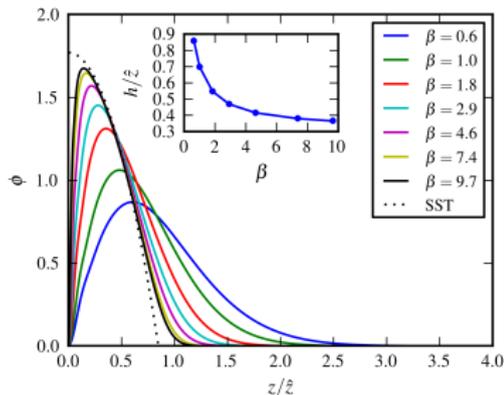
Fig. 2. Chain-unit density profiles  $\phi(z)$  for a "parabolic" brush (that is,  $\phi \ll 1$  so  $\mu \approx \phi$ ), and the step-function ansatz at equal coverage  $\sigma$  and chain length  $N$ . Also shown are end-density profiles for brushes with (dotted) and without (dot-dashed) solvent.

# Results and Discussion

## Completely Repulsive Surface

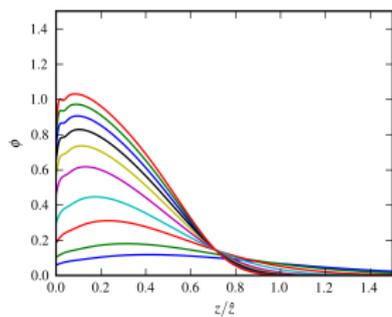
There is only one parameter for homopolymer brush

$$\beta = \left( \frac{u_0 \sigma C}{2} \right)^{3/2}$$

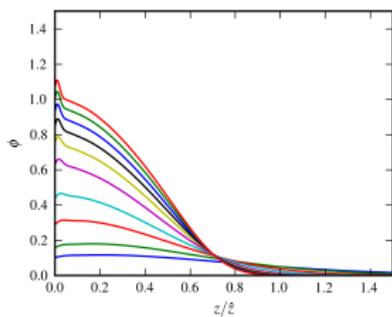


# Results and Discussion

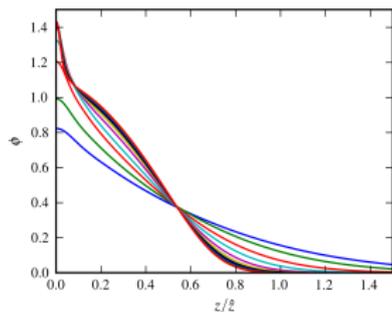
## Profiles for Different Surface Interactions



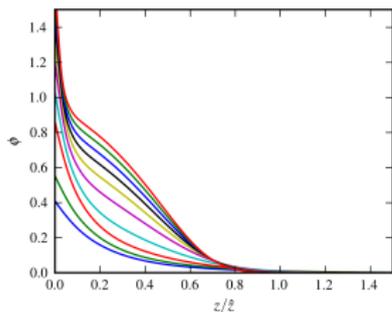
(a)



(b)



(c)

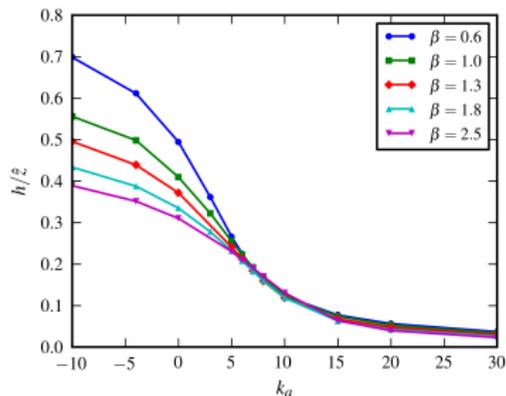
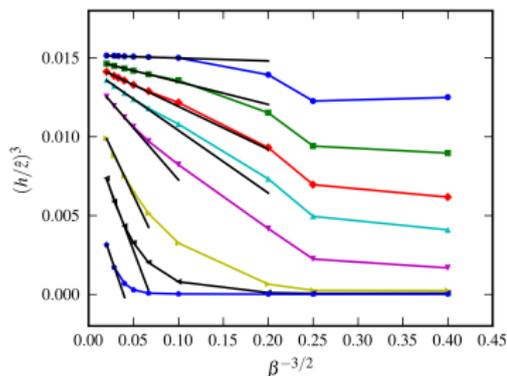


(d)

Rescaled segment density profiles as a function of the rescaled distance from the grafting surface calculated by SCFT with (a)  $\kappa_a = -10$ , (b)  $\kappa_a = -4$ , (c)  $\kappa_a = 0$ , and (d)  $\kappa_a = 5$ . For each part, the values of  $\beta$  for profiles from bottom to top are 0.6, 1.0, 1.8, 2.9, 4.6, 6.1, 7.4, 8.5, 9.7, and 10.7, respectively.

# Results and Discussion

## Scaling Regions of Tethered Polymers

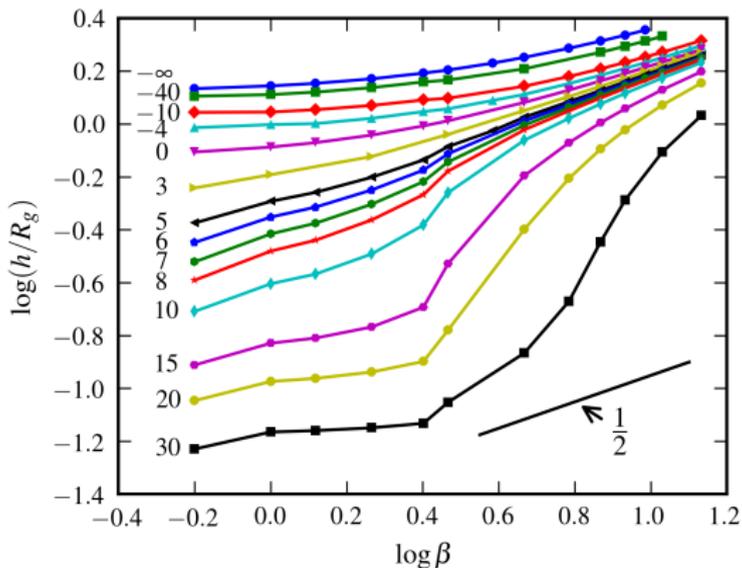


Left: The rescaled brush heights as a function of  $\beta$  for different  $\kappa_a$ . The curves from top to bottom correspond to  $\kappa_a = 5, 6, 7, 8, 10, 15, 20, \text{ and } 30$ , respectively.

Right: The rescaled brush heights as a function of  $\kappa_a$  some small  $\beta$ s which are listed in the legend.

# Results and Discussion

## Phase Diagram of Tethered Polymers



The logarithm of brush heights in unit of  $R_g$  as a function of the logarithm of the parameter  $\beta$  for different surface affinities of the grafting surface. The numbers listed in the left of profiles are the value of  $\kappa_a$ . The straight line at the right bottom corner indicate the power law  $h/R_g \sim \beta^{1/2}$ .

# Summary

- We developed highly efficient SCFT methods.
  - A software framework — Polyorder
  - Multigrid method for charged polymers.
  - ETDRK4 method for confined polymers and polymer brushes.
- On study of weakly charged polymers.
  - Phase diagram is constructed based on the 2D SCFT calculations.
  - Self-assembled structures are predicted by 3D SCFT calculations.
- On study of block copolymers confined by interacting surfaces.
  - Free energy is evaluated based on the 2D SCFT calculations.
  - Self-assembled structures are predicted by 3D SCFT calculations.
- On study of polymer brushes.
  - Density profiles are predicted.
  - Phase diagram is constructed by scaling analysis of brush height.

# Acknowledgments

- Prof. H. D. Zhang, Prof. A. C. Shi
- Prof. F. Qiu, Prof. C. H. Tong, Dr. J. F. Li
- Shanghai Postdoctoral Scientific Program (2011)
- National Natural Science Foundation of China (Grant 21004013)



# Thanks!